

Ph. 23236351, 23232701, 23237721, 23234116  
23235733, 23232317, 23236735, 23239437

[www.ugc.ac.in](http://www.ugc.ac.in)



विश्वविद्यालय अनुदान आयोग  
बहादुरशाह जफर मार्ग  
नई दिल्ली-110 002

UNIVERSITY GRANTS COMMISSION  
BAHADURSHAH ZAFAR MARG  
NEW DELHI-110 002

F. No. 6-1(7)/2006(CPP-I)

July, 2011

**The Vice-Chancellor/Director  
Sri Siddhartha Academy of Higher Education,  
Tumkur District – 572 102,  
Karnataka.**

13 JUL 2011

Subject: M.Phil/Ph.D. programmes run by the Institutions Deemed to be Universities.

Sir,

The UGC (Minimum Standards and Procedure for Awards of M.Phil/Ph.D. Degree), Regulation, 2009 was published in the Gazette of India on 1<sup>st</sup> June, 2009. The main purpose of the Regulation was to streamline the process of M.Phil/Ph.D. programmes in the country and to maintain the high standards in M.Phil/Ph.D. programmes run by various Universities/Institutions Deemed to be Universities/State Private Universities/Colleges and Institutions of National Importance.

In the recent past, the University Grants Commission has noted a marked increase in the registration of Ph.D. enrolment in some of the Institutions Deemed to be Universities and their constituent units. A large number of candidates enrolled in the Ph.D. programmes are existing faculty members in these Institutions Deemed to be Universities and their constituent units. It has also been observed that majority of these candidates are being guided by faculty members from completely different disciplines, in some instances from outside deemed to be universities. This is because of lack of adequately experienced and qualified faculty members within these Institutions Deemed to be Universities for the purpose of acting as M.Phil/Ph.D. guides.

In this regard, some of the provisions of the UGC (Minimum Standards and Procedure for Awards of M.Phil/Ph.D. Degree), Regulation, 2009 (available on the UGC website [www.ugc.ac.in](http://www.ugc.ac.in)) are reproduced as under:-

1. The Deemed to be Universities shall not conduct M.Phil and Ph.D. programmes through distance education mode.

*MC*  
*AC 18/7*

2. The Deemed to be Universities shall lay down the criteria for the faculty to be recognized as Research Supervisor both for M.Phil and Ph.D. Programmes.
3. The Deemed to be Universities shall lay down and decide on annual basis, a predetermined and manageable number of M.Phil and doctoral students depending on the number of the available eligible Faculty Supervisors. A Supervisor shall not have, at any given point of time, more than Eight Ph.D. Scholars and Five M.Phil Scholars.
4. The number of seats for M.Phil and Ph.D. shall be decided well in advance and notified in the Institution Deemed to be University website or advertisement. The Deemed to be Universities shall widely advertise the number of available seats for M.Phil/Ph.D. studies and conduct admission on regular basis.
5. The Deemed to be Universities shall admit M.Phil doctoral students through an Entrance Test. It shall be followed by an interview to be organized by the Deemed to be University wherein doctoral candidates are expected to discuss their research interest/area. It shall be ensured that only predetermined number of students may be admitted to M.Phil/Ph.D. programme.
6. The allocation of the supervisor for a selected student shall be decided by the Department in a formal manner depending on the number of student per faculty member, the available specialization among the faculty supervisors, and the research interest of the student as indicated during interview by the student. The allotment/allocation of supervisor shall not be left to the individual student or teacher.
7. After having been admitted, each M.Phil/Ph.D. student shall be required to undertake course work for a minimum period of one semester. The course work shall be treated as pre M.Phil/Ph.D. preparation and must include a course on research methodology which may include quantitative methods and Computer Applications. It may also involve reviewing of published research in the relevant field.

In view of the above, I am directed to request you to conduct M.Phil/Ph.D. programme strictly as per the UGC (Minimum Standards and Procedure for Awards of M.Phil/Ph.D. Degree), Regulation, 2009 to ensure maintenance of high standard in M.Phil/Ph.D. programme. I am further directed to suggest you to create a long term external reviewing mechanism consisting of external experts to review the entire M.Phil/Ph.D. programme.

In the meantime, you are requested to submit the Institution-wise and Department-wise details of the scholars enrolled for M.Phil/Ph.D. in your Institution Deemed to be University. The details may include Name of the Scholar, Name and address of the Supervisor, Date of Registration, Title of the Thesis etc. This information may also be posted on the Deemed to be University website and updated periodically. You are also requested to inform the procedure adopted for the selection of M.Phil/Ph.D. scholars and whether the procedure was as per the provisions contained in the UGC (Minimum Standards and Procedure for Awards of M.Phil/Ph.D. Degree), Regulation, 2009.

Yours faithfully,



( Uma Bali )  
Under Secretary